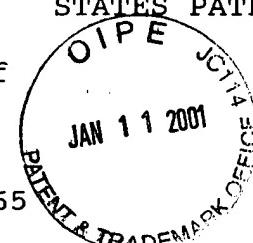


THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

JIN W. LU

Serial No. 09/396,565



Atty. Docket

OPHA 23.775

Group Art Unit 2766

Filed: SEPTEMBER 15, 1999

Ex.

GAU 2766
J/31
#5
RECEIVED
MAY 16 2001
H-23001

Technology Center 2100

Title: REMOVABLE CARD SYSTEM WITH DOWNLOADABLE AGENT FOR
COMMUNICATING WITH A DIGITAL TELEVISION RECEIVER OR OTHER
HOST DEVICE

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Sir:

Enclosed is a Form PTO-1449 and copies of documents listed thereon. These documents are considered to be relevant in that they have been:

- considered in drafting the specification of the above-referenced application;
- cited in the specification of the above-referenced application; or
- cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed.

I hereby certify that these documents were cited in
 said search report not more than three (3) months ago.

Please charge any fee under 1.17(p) for this Information Disclosure Statement to be considered, not exceeding \$240.00, to Account No. 14-1270.

If readily available, English-language counterparts have been substituted for foreign-language patent documents. This disclosure is not an admission that any of these documents is material to or even prior art with respect to the above-referenced application.

Respectfully submitted,

By Russell Gross
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited this date with the United States Postal Service as first-class mail in an envelope addressed to:

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On January 8, 2001
By Valerie J. Dean